



1. Controlling Person Type :
 - (a) In case of legal Person : Ownership Other means Senior Managing Official
 - (b) In case of legal Arrangement - Trust : Settlor Trustee Protector beneficiary Others
 - (c) In case of legal Arrangement - others
 - Settlor equivalent Trustee equivalent Protector equivalent beneficiary equivalent
 - Others equivalent
 - (d) Unknown
2. Name of the Controlling Person :
3. Customer ID, if allotted :
4. Father's name
5. Gender : Male Female Others
6. PAN :
7. Aadhaar No :
8. Identification Type (tick and provide as proof of identity) :
 - A-Passport B- Election Id Card C- PAN Card D- ID Card E- Driving License
 - F -UIDAI letter G-NREGA job card H- Others X- Not categorised
9. Identification Number (mentioned in identification document) :
10. Occupation Type : S-Service B-Business O-Others X- Not categorised
11. Date of Birth (DD/MM/YYYY) :
12. Nationality :
13. Place of Birth :
14. Country of Birth :
15. Address Type of Controlling Person : 1-Residential or Business 2-Residential 3-Business
 4- Registered Office 5-Unspecified.
16. Address of Controlling Person :
17. Mobile/Telephone Number :
18. Please indicate the Country of tax residence (if resident in more than one country please detail all countries and associated tax identification number and TIN issuing country).

**Controlling persons means natural persons who exercise control over an entity who includes a beneficial owner as defined in Explanation to sub-rule (3) of rule 9 Prevention of Money-laundering (Maintenance of Records) Rules, 2005. In determining the beneficial owner the procedure specified in the RBI/SEBI/IRDA circulars shall be applied. In the case of Trust, the controlling persons mean the settlor, the trustees, the protector, the beneficiaries or class of beneficiaries and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent position.*

Country/countries of tax residency	Tax Identification Number (TIN)	TIN Issuing Country

Section 5 : Declaration and Undertakings

I / We certify that:

- k) the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.
- l) the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.
- m) I/We permit/authorise the Bank to collect, store, communicate and process information relating to the Account and all transactions therein, by the Bank and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- n) I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self-certification alongwith documentary evidence.
- o) I / We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and the Bank would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /RBI for the purpose or take any other action as may be deemed appropriate by the Bank if the deficiency is not remedied by us within the stipulated period.
- p) I / We hereby accept and acknowledge that the Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Bank.
- q) It shall be my / our responsibilities to educate myself / ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.
- r) I/We also agree to furnish such information and/or documents as the Bank may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- s) I/We shall indemnify the Bank for any loss that may arise to the Bankon account of providing incorrect or incomplete information.
- t) I / We certify that I/we have the capacity to sign for the Entity as per CBDT rules/SEBI guidelines.

Date _____

Authorised Signature _____

Name _____

Designation _____

Seal and Stamp of the Entity